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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/804,859	03/19/2004	John Mattick	DAVI199.005CP1

CONFIRMATION NO. 7975

FORMALITIES LETTER



OC000000012704796

20995
 KNOBBE MARTENS OLSON & BEAR LLP
 2040 MAIN STREET
 FOURTEENTH FLOOR
 IRVINE, CA 92614

Date Mailed: 05/18/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/20/2004 HLE333 00000038 10804859

FILED UNDER 37 CFR 1.53(b)

01 FC:2001	385.00 OP
02 FC:2201	430.00 OP
03 FC:2202	711.00 OP
04 FC:2203	145.00 OP
05 FC:2051	65.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu

of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$2572** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

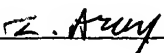
SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$3472** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$2572**
 - **\$860** for **10** independent claims over 3.
 - **\$1422** for **79** total claims over 20.
 - **\$290** for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

Case Docket No. DAVI199.005CP1

Date: August 17, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Mattick et al.
App. No. : 10/804,859
Filed : March 19, 2004
For : METHOD FOR IDENTIFYING
EFFECTOR MOLECULES
Group Art Unit : 1653

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 17, 2004

(Date)

Jennifer A. Haynes, Ph.D., Reg. No. 48,868

TRANSMITTAL LETTER

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

ATTENTION: MAIL STOP MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on May 18, 2004, enclosed are:

- (X) A Declaration and Power of Attorney.
- (X) An extension of time to respond for 1 month is hereby requested.

Time Extension Fee:

(X) one month (\$55 small entity)

- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) Verified Statement (Declaration) Claiming Small Entity Status.
- (X) A Notice to File Missing Parts.
- (X) Sequence listing in 29 pages.
- (X) Sequence listing in CRF

08/20/2004 HLE333 00000036 10804859 55.00 OP
06 FC:2251

PATENT

Case Docket No. DAVI199.005CP1

Date: August 17, 2004

(X) Response to Notice to Comply.

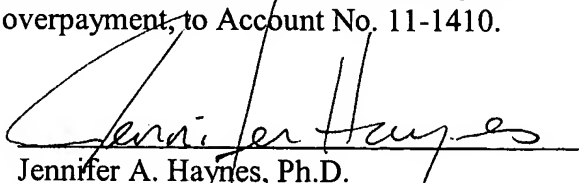
(X) Return prepaid postcard.

(X) Fees as calculated below:

FILING FEE		\$ 770
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	1 month	\$ 110
SURCHARGE 37 CFR 1.16(e)		\$ +130
EXCESS CLAIMS $99 - 20 = 79 \times 18$		\$ 1422
INDEPENDENT CLAIMS: $13 - 3 = 10 \times 86$		\$ 860
MULTIPLE CLAIM		\$ 290
TOTAL OF ABOVE CALCULATIONS		\$ 3582
REDUCTION BY $\frac{1}{2}$ FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached.		
		\$ -1791
TOTAL FEES SUBMITTED HEREWITH		\$ 1791

(X) A check in the amount of \$1791 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.


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